

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

REISSUE SERIAL NO. :
FILED :
GROUP ART UNIT :
EXAMINER :
PATENT NO. : 5,855,004
INVENTORS : Novosel et al.
TITLE : "SOUND RECORDING AND REPRODUCTION
SYSTEM FOR MODEL TRAIN USING
INTEGRATED DIGITAL COMMAND
CONTROL"

**REISSUE APPLICATION
INVENTOR'S DECLARATION AND POWER OF ATTORNEY
MICHAEL J. NOVOSEL**

Assistant Commissioner for Patents
Box 7
Washington, D.C. 20231

SIR:

I hereby declare that:

1. My residence, post office address and citizenship are as stated below next to my name.

2. I am one of the original joint inventors for U.S. Patent Application Serial No. 08/851,200 filed May 5, 1997 and which issued as U.S. Patent No. 5,855,004 on December 29, 1998, and for which invention a reissue patent is being solicited.

3. A copy of the reissue application is attached hereto. I have reviewed and understand the contents of the specification, including the claims as amended or added by any amendments specifically referred to herein.

4. I believe the named inventors, I, Michael J. Novosel, Vincent S. Fleszewski, III and Kelly Boles, to be the original and first inventors of the subject matter which is claimed and

for which a reissue patent is sought.

5. I acknowledge the duty to disclose information defined in 37 CFR §1.56 which is material to the examination of this application, namely, information that may affect the patentability of one or more claims in the application.

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I hereby appoint the following attorney to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected therewith:

Brian M. Mattson, Reg. No. 35,018, of the firm of Patents+TMS, P.C.

Address all correspondence to:

Patents+TMS
A Professional Corporation
1914 North Milwaukee
Chicago, Illinois 60647
Telephone: 773/772-6009

I hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may

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REISSUE APPLICATION
INVENTOR'S DECLARATION AND POWER OF ATTORNEY
VINCENT S. FLESZEWSKI, III

Assistant Commissioner for Patents
Box 7
Washington, D.C. 20231

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Full Name of Joint
Inventor

Inventor's Signature
Residence
Citizenship
Post Office Address

VINCENT S. FLESZEWSKI, III

Vincent S. Fleszewski ^{5th} Date: April 23, 2000
CROWN POINT, INDIANA
USA
11352 HOBART PLACE, CROWN POINT, IN
46307

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KELLY BOLES**

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Due to clerical error this was not stamped in with the similarly worded Declarations by the other two inventors, received on 06/09/2000

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Full Name of Joint
Inventor

KELLY BOLES

Inventor's Signature

Kelly Boles

Date: 5-7-00

Residence

ROCHESTER, MINNESOTA

Citizenship

USA

Post Office Address

1407-48TH STREET, ROCHESTER, MN 55901

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CONSENT BY ASSIGNEE

The Assignee of Record, Real Rail Effects, Inc., gives full consent to the accompanying reissue application of U.S. Patent No. 5,855,004. The evidentiary documents have been reviewed and, as evidenced by the attached copy of the Notice of Recordation of Assignment Document from the U.S. Patent and Trademark Office, title is in the Assignee of Record, Real Rail Effects, Inc., as recorded at Reel 8748, Frame 0083 on October 6, 1997.

Date: April 1, 2000

By: Michael J. Novosel Jr.
Title: President
Real Rail Effects, Inc.
4220 North Marmora
Chicago, IL 60634

CERTIFICATE UNDER 37 C.F.R. §3.73(b)

Patentees: Novosel et al.

Application Serial No.: 08/851,200

Filed: May 5, 1997

U.S. Patent No.: 5,855,004

Issued: Dec. 29, 1998

For: "SOUND RECORDING AND REPRODUCTION SYSTEM FOR MODEL TRAIN
USING INTEGRATED DIGITAL COMMAND CONTROL"

Real Rail Effects, Inc., a Corporation
Name of Assignee **Type of Assignee**

certifies that it is the assignee of the entire right, title and interest in the patent
identified above by virtue of either

A. ☒ an assignment from the inventor(s) of the patent identified above.
The assignment was recorded in the U.S. Patent and Trademark
Office on October 6, 1997 at Reel 8748, Frame 0083, and for which
a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s) of the patent identified above to
the current assignee as shown below:

1. From _____ To _____

The document was recorded in the U.S. Patent and
Trademark Office at Reel _____, Frame _____, or for
which a copy thereof is attached.

2. From _____ To _____

The document was recorded in the U.S. Patent and
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3. From _____ To _____

The document was recorded in the U.S. Patent and
Trademark Office at Reel _____, Frame _____, or for
which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a
supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are
attached.

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000000-1942500

[illegible]

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true, and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Name: Michael S Novosel Jr

Signature: Michael J. Novak

Signature: Michael J. Novack



UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

NOVEMBER 25, 1997

PTAS

HILL, STEADMAN & SIMPSON
BRIAN M. MATTSON, ESQ.
85TH FLOOR, SEARS TOWER
233 SOUTH WACKER DRIVE
CHICAGO, IL 60606



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UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, NORTH TOWER BUILDING, SUITE 10C35, WASHINGTON, D.C. 20231.

RECORDATION DATE: 10/06/1997

REEL/FRAME: 8748/0083
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

NOVOSEL, MICHAEL J.

DOC DATE: 04/30/1997

ASSIGNOR:

FLESZEWSKI, VINCENT S. III

DOC DATE: 05/05/1997

ASSIGNOR:

BOLES, KELLY

DOC DATE: 09/30/1997

ASSIGNEE:

REAL RAIL EFFECTS, INC.
4220 NORTH MARMORA
CHICAGO, ILLINOIS 60634

SERIAL NUMBER: 08851200
PATENT NUMBER:

FILING DATE: 05/05/1997
ISSUE DATE:

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SHIRLIE SIMON, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

ASSIGNMENT

FOR and in consideration of One Dollar (\$1.00) to us in hand paid, and other valuable considerations, the receipt and sufficiency whereof are hereby acknowledged and confessed, we, **Michael J. Novosel** of 4220 North Marmora, Chicago, Illinois 60634, and **Vincent S. Fleszewski, III** of 11352 Hobart Place, Crown Point, IN 46307 and **Kelly Boles** of 1407-48th Street N.W., Rochester, MN 55901, all citizens of the United States, hereby sell, assign, transfer and set over unto **Real Rail Effects, Inc.** a corporation of Illinois, having an office and place of business at 4220 North Marmora, Chicago, Illinois 60634, the entire right, title and interest in and to our invention in

"SOUND RECORDING AND REPRODUCTION SYSTEM"

as set forth in our application for United States Letters Patent therefor, prepared by the firm of Hill, Steadman & Simpson, A Professional Corporation, of Chicago, Illinois and executed of even date herewith, said application being identified in the office records of said firm as **Case No. P97,1046** and in and to the United States Letters Patent therefor, when issued, together with all improvements thereon and betterments thereof, all divisions, continuations and reissues thereof and substitutions of or for said application, and all foreign rights including the right to make application for Letters Patent for said inventions in any and all foreign countries and the right to claim priority as to filing date under the International Convention on the basis of the aforesaid application for United States Letters Patent; and we hereby authorize and direct the Commissioner of Patents to issue the patent for said inventions when granted, in accordance with this assignment and sale.

For the same consideration, we hereby agree that we will promptly communicate to the aforesaid assignee or its assigns full and complete information concerning improvements or betterments of the inventions disclosed in said application, and we will cooperate at any time upon request of said assignee or its assigns, at its or their expense, in the procurement of patent protection to cover the inventions herein assigned and to be assigned including the execution of new, divisional, continuing and reissue applications; we will make all rightful oaths, will testify in any proceedings in the Patent Office or in the Courts, and generally will do everything lawfully possible to aid said assignee, its successors, assigns, and nominees to obtain, enjoy and enforce proper patent protection for the inventions embraced within the terms of this document.

IN WITNESS WHEREOF, we have hereunto subscribed our names and affixed our seals at:

Date: May 5, 1997

(SEAL)

City of _____
County of _____
State of _____

Michael J. Novosel
Michael J. Novosel

Date: April 30, 1997

(SEAL)

City of _____
County of _____
State of _____

Vincent S. Fleszewski, III
Vincent S. Fleszewski, III

City of _____
County of _____

Date: SEPTEMBER 30
1997

(SEAL)

State of Minnesota
Kelly Boles
Kelly Boles

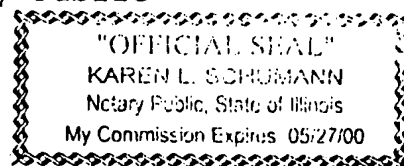
State of Illinois)
County of Lake) : ss

Before me personally appeared **Michael J. Novosel**, known to me to be the person who executed the foregoing instrument, and acknowledged the execution and delivery thereof, under their seals, for the uses and purposes therein set forth, on the day and year aforesaid.

Karen L. Schumann
Notary Public

S E A L

State of Illinois)
County of Lake) : ss

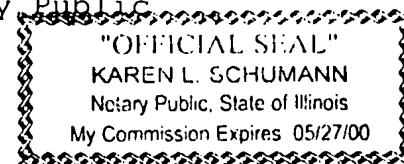


Before me personally appeared **Vincent S. Fleszewski, III**, known to me to be the person who executed the foregoing instrument, and acknowledged the execution and delivery thereof, under their seals, for the uses and purposes therein set forth, on the day and year aforesaid.

Karen L. Schumann
Notary Public

S E A L

State of Minnesota)
County of Olmsted) : ss



Before me personally appeared **Kelly Boles**, known to me to be the person who executed the foregoing instrument, and acknowledged the execution and delivery thereof, under their seals, for the uses and purposes therein set forth, on the day and year aforesaid.

Thomas G. Huey
Notary Public

